



Anthony W. Basch
Member

T (804) 771.5725
F (888) 360.9092
awbasch@kaufcan.com

Tony is Co-Chair of the firm's Corporate and Public Finance Group and Securities Team. Tony has worked on a variety of initial public offerings, private placements, secondary offerings and shelf registrations for companies listed on the NASDAQ Stock Exchange and American Stock Exchange. In addition, he assists listed companies with meeting their SEC and market compliance responsibilities. Tony advises public and private companies on a variety of corporate matters, from mergers and acquisitions to general corporate governance.

Tony speaks Mandarin and regularly assists Chinese companies that are listed on U.S. markets with their securities law obligations. As a member of the Securities Team, he has completed IPOs on the NASDAQ Capital Market for several companies headquartered in the PRC.

PRACTICE AREAS

- Corporate & Public Finance
- Commercial
- Senior Housing and Care Team
- International

REPRESENTATIVE MATTERS

- Completed initial public offerings on the NASDAQ Stock Exchange for companies in the following industries:
 - Commercial
 - Enterprise resource planning
 - Shipping agency services
 - Oilfield services and digitalization
 - Wastewater treatment and fluid engineering
 - Medical devices
 - Traditional medicine
 - Accounting software
 - Commercial hog farming
- Represented management in going private transaction
- Represented companies in private placements of publicly held company securities
- Represented Chinese purchasers of US agricultural products
- Completed secondary offering of publicly held company securities
- Assisted public companies in responding to regulatory investigations

RECOGNITION AND HONORS

- Virginia Rising Stars; *Law & Politics*, 2009, 2013-2014
- *Race and Ethnic Ancestry Law Journal*; Executive Editor & Articles Editor

ASSOCIATIONS

- American Bar Association
- Virginia Bar Association
- Richmond Bar Association
- Association for the Support of Children with Cancer (ASK); Board Member
- Richmond Road Runners Club; Board Member

EDUCATION

- Wabash College; A.B., *summa cum laude*, 1996
- Indiana University; M.A., 1998
- Washington & Lee University School of Law; J.D., *cum laude*, 2001

PRESS AND PUBLICATION

- What Can Prior Natural Disasters Teach Chinese Public Companies?
- Kaufman & Canoles Represents Tantech in Initial Public Offering
- 29 Kaufman & Canoles Attorneys Named as Super Lawyers 2014 and 8 Named as Rising Stars 2014
- 29 Kaufman & Canoles Attorneys Named as Super Lawyers 2013 and 14 Named as Rising Stars 2013
- NASDAQ Proposes Enhanced Requirements for Reverse Merger Companies
- 30 Kaufman & Canoles Attorneys Named as Super Lawyers, 12 Named as Rising Stars 2009
- Kaufman & Canoles Announces New partners (2009)
- Access to Health Care by Limited English-Proficient Individuals