



WHITE-COLLAR DEFENSE AND INVESTIGATIONS

“Our seasoned team offers a comprehensive suite of services tailored to your needs, from meticulous audits and thorough investigations to staunch defense against fraud allegations. Trust us to be your steadfast ally in responding to governmental inquiries, ensuring your integrity remains unblemished in the face of challenge.”

WHO WE ARE

Kaufman & Canoles’ White-Collar Defense and Investigations Team represents businesses and individuals subject to investigation or audit by federal or state regulators and/or enforcement authorities on a broad range of subjects. This includes regulatory audits, compliance investigations, civil investigative demands, grand jury subpoenas and criminal indictments. Our team works with businesses and professionals facing a variety of challenges including claims of government procurement fraud, healthcare fraud, suspensions and debarment, public corruption, False Claims Act and whistleblower claims, embezzlement, tax and other financial offenses, foreign corrupt practices and export violations. The White-Collar Defense and Investigations Team also conducts internal investigations, which allows for a careful and strategic response to potential governmental inquiry. We have the experience and expertise required to guide clients through the investigation process and, if necessary, provide a strong defense against all alleged violations that fall under the umbrella of white-collar crimes.

Our team is made up of attorneys with a wide range of experience who bring dedicated, results-orientated representation to our clients. An experienced lawyer is essential whenever a business or individual expects or is under governmental scrutiny, regardless of the nature of the investigation or allegations. Even when no wrongdoing is suspected, an attorney experienced with government investigations can help successfully navigate internal audits, responses to governmental requests, as well as civil and grand jury subpoenas. Our firm also offers a preemptive approach and can assist clients with internal audits and investigations designed to help achieve compliance before problems arise. We are dedicated to using all available tools to help clients targeted by regulatory and enforcement agencies.

HOW WE HELP

Leveraging the insights and experience of our government affairs colleagues, industry experts, compliance professionals, and litigators throughout the firm, we advise and defend companies and their executives and boards before nearly every major federal and state enforcement agency. We handle highly technical, complex cases involving significant exposure for financial institutions,

HOW WE HELP (CONT.)

government contractors and healthcare providers, as well as their executives and employees. These investigations are frequently complex and carry significant legal and business risk. Additionally, those found guilty of federal criminal offenses typically face strict punishment under the United States Sentencing Guidelines. Our experienced attorneys are familiar with all aspects of the federal criminal process and routinely work with federal prosecutors, agents, and government regulators to obtain the best possible result for our clients.

We handle matters related to:

- Anti-Corruption Enforcement and Compliance
- Antitrust, Cartels, and Sherman Act Violations
- Blockchain, Digital Assets, and Fintech
- CARES Act and PPP Loan Fraud
- Cybersecurity and Data Privacy
- Election and Campaign Finance Fraud
- Environmental Violations
- Export Controls and Regulations
- False Claims Act and Qui Tam Defense
- Federal and State Criminal Defense
- Government Contracts
- Government Investigations
- Grand Jury Subpoenas
- Healthcare Fraud & Healthcare-related criminal and regulatory enforcement
- HIPAA and other Data Privacy and Cybersecurity Regulations
- Internal Corporate Investigations and Audits
- Military Procurement Fraud and Government Contracting Fraud
- Money Laundering and Bank Secrecy Act
- Sanctions and Export Controls
- Securities, Financial Institutions, and Accounting Fraud
- Securities Law
- Tax Enforcement and Financial Offenses
- Tax Fraud and IRS Administrative Subpoenas
- U.S. Attorney's Office Target Letters

OUR TRACK RECORD

As federal and state prosecutors, regulators, and enforcement agencies increasingly scrutinize business activities and compliance matters, our attorneys deliver strategies aimed at keeping our clients out of trouble. Even when the government is determined to move forward with criminal or civil enforcement, or regulatory action, Kaufman & Canoles' White Collar Defense and Investigations Team is equipped with diverse trial and complex litigation experience with relevant government enforcement and regulatory authorities, including the U.S. Department of Justice, the Securities and Exchange Commission (SEC), the Commodity Futures Trading Commission (CFTC), the Financial Industry Regulatory Authority (FINRA), the Consumer Financial Protection Bureau (CFPB), the Drug Enforcement Agency (DEA), Environmental Protection Agency (EPA), the U.S. Department of Commerce, the U.S. Department of the Treasury, Congressional Committees, and the State Attorneys General as well as local prosecutors' offices.